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Andi Dian Permana <andi.dian.permana@farmasi.unhas.ac.id>

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## A manuscript number has been assigned

1 message

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**European Journal of Pharmaceutical Sciences** <em@editorialmanager.com>

Sat, Jul 17, 2021 at 11:54 AM

Reply-To: European Journal of Pharmaceutical Sciences <ejps@sdu.dk>

To: Andi Permana <andi.dian.permana@farmasi.unhas.ac.id>

Dear Dr. Andi Permana,

Your submission entitled "A Novel In Vitro Approach to Investigate the Effect of Food Intake on Release Profile of Valsartan in Solid Dispersion-Floating Gel In-Situ Delivery System" has been assigned the following manuscript number: PHASCI-D-21-00937.

You will be able to check on the progress of your paper by logging on to Editorial Managers as an author. The URL is <https://www.editorialmanager.com/phasci/>.

Thank you for submitting your work to this journal.

Kind regards,

Martin Brandl, Dr. rer. nat. habil  
Editor In Chief  
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## Your Submission

1 message

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**European Journal of Pharmaceutical Sciences** <em@editorialmanager.com>

Sun, Oct 24, 2021 at 10:10 PM

Reply-To: European Journal of Pharmaceutical Sciences <ejps@sdu.dk>

To: Andi Permana <andi.dian.permana@farmasi.unhas.ac.id>

CC: [christoph.saal@merckgroup.com](mailto:christoph.saal@merckgroup.com)

Ref.: Ms. No. PHASCI-D-21-00937R1

A Novel In Vitro Approach to Investigate the Effect of Food Intake on Release Profile of Valsartan in Solid Dispersion-Floating Gel In-Situ Delivery System

European Journal of Pharmaceutical Sciences

Dear Dr. Permana,

Reviewers have now commented on your paper. You will see that they are advising that you revise your manuscript. If you are prepared to undertake the work required, I would be pleased to reconsider my decision.

For your guidance, reviewers' comments are appended below.

If you decide to revise the work, please submit a list of changes or a rebuttal against each point which is being raised when you submit the revised manuscript.

Also, if you are resubmitting the manuscript please specify the page of the PDF where the changes have been made

The revision should be submitted by 23/12/2021. If you cannot meet the above deadline, please contact me and explain why more time is needed. Extra time to revise manuscripts is granted on a case-by-case basis.

Note: While submitting the revised manuscript, please double check the author names provided in the submission so that authorship related changes are made in the revision stage. If your manuscript is accepted, any authorship change will involve approval from co-authors and respective editor handling the submission and this may cause a significant delay in publishing your manuscript.

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Yours sincerely

Christoph Saal, Dr. habil.  
Section Editor  
European Journal of Pharmaceutical Sciences

Reviewers' comments:

Reviewer #1: Title - A Novel In Vitro Approach to Investigate the Effect of Food Intake on Release Profile of Valsartan in Solid Dispersion-Floating Gel In-Situ Delivery System

In the revised manuscript author has addressed all of my previous comments w/ either modification or justification. One minor comment regarding novelty still needs to be addressed., mentioned below. My recommendation is "Minor Revision" and manuscript can be accepted for publication after addressing following comment.

Comments-

1. Novelty: Provided justification is not entirely correct because the impact of different physiological conditions such as pH, buffer capacity, and ionic strength for Valsartan is reported. But may be use of VAL-SD-Modified release performance in biorelevant media could be additional information to the existing knowledge (<https://doi.org/10.1080/10837450.2018.1536996>)

Reviewer #2: The authors have met the referees' points and provided sufficient clarifications, therefore I recommend acceptance for publication.

Reviewer #3: The revised version of the manuscript shows some problems yet, and it should be revised:

- Table 1 - Are the units for the content of each component "%, v/w" or "%, w/v"?
- Line 285 - How much was the significance of the regression? It is necessary to show the value of p (compare fcalc with ftab).
- Line 604 - "50 °C".

Data in Brief (optional):

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## Submission Confirmation for PHASCI-D-21-00937R1

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Tue, Oct 12, 2021 at 1:23 AM

Reply-To: European Journal of Pharmaceutical Sciences <ejps@sdu.dk>

To: Andi Permana <andi.dian.permana@farmasi.unhas.ac.id>

Ref.: Ms. No. PHASCI-D-21-00937R1

A Novel In Vitro Approach to Investigate the Effect of Food Intake on Release Profile of Valsartan in Solid Dispersion-Floating Gel In-Situ Delivery System

Dear Dr. Andi Permana,

European Journal of Pharmaceutical Sciences has received your revised submission.

You may check the status of your manuscript by logging onto Editorial Managers at <https://www.editorialmanager.com/phasci/>.

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European Journal of Pharmaceutical Sciences

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# International Journal of Pharmaceutics

## A Novel In Vitro Approach to Investigate the Effect of Food Intake on Release Profile of Valsartan in Solid Dispersion-Floating Gel In-Situ Delivery System

--Manuscript Draft--

<b>Manuscript Number:</b>	IJPHARM-D-21-01621
<b>Article Type:</b>	Research Paper
<b>Section/Category:</b>	
<b>Keywords:</b>	Valsartan; Solid dispersion; Floating gel in situ system; Controlled release
<b>Corresponding Author:</b>	Andi Dian Permana, Ph.D Hasanuddin University Makassar, INDONESIA
<b>First Author:</b>	Achmad Himawan
<b>Order of Authors:</b>	Achmad Himawan Nana Juniarti Natsir Djide Sandra Aulia Mardikasari Rifka Nurul Utami Andi Arjuna Ryan. F. Donnelly Andi Dian Permana, Ph.D
<b>Abstract:</b>	<p>Valsartan (VAL) is a BCS class II drug with low solubility and high permeability and, thus, its formulations often encounter low bioavailability problems. Its low bioavailability can be improved through enhanced formulation, such as incorporating it into a solid dispersion system (SD). The absorption can be further enhanced through gastroretentive systems. Herein, we developed a novel combination delivery approach consisting of floating in-situ gel and SD. VAL was incorporated with polymer carrier PVP and PEG 6000 and its solubility was then evaluated. The study found that VAL-SD containing PVP K-30 as the carrier with drug:PVP K-30 ratio of 1:3 shown highest solubility in different media. Moreover, DSC and XRD evaluations exhibited the change of VAL from crystal to amorphous following SD formulation. The SD was then formulated into floating in-situ gel preparations using sodium alginate as gel forming compound and HPMC as the controlled release matrix. The prepared VAL-SD floating in-situ gels were evaluated for their physical properties and drug release profile. The results showed that all physical evaluation of the floating in-situ gel formula possessed desirable physical properties and the use of HPMC in floating in-situ gel was able to sustain the in vitro release of VAL for 24 hours in biorelevant media. Importantly, the effect of food intake on VAL release was also investigated, for the first time, showing that the VAL release could be controlled in FaSSGF (Fasted-State Simulated Gastric Fluid) in 2 h and FeSSGF (Fed-State Simulated Gastric Fluid) onwards. Thus, it can be hypothesized that the food intake did not affect the VAL release after 2 h in an empty gastric environment. Leading on from these results, in vivo studies in an animal model should be carried out to further assess the potency of this system.</p>
<b>Suggested Reviewers:</b>	Ahmed Faheem ahmed.faheem@sunderland.ac.uk Aaron Courtenay a.courtenay@ulster.ac.uk Majella Lane m.lane@ucl.ac.uk Adrian Williams a.c.williams@reading.ac.uk



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FACULTY OF PHARMACY

Alamat Jalan Perintis kemerdekaan Km.10, Makassar 90245  
Telepon (0411) 588556, Faksimili (0411) 590663  
Laman: farmasi@unhas.ac.id

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**The Editor**  
**International Journal of Pharmaceutics**

June 14, 2021

Dear Sir/Madam,

I would like to submit our original manuscript entitled “**A Novel *In Vitro* Approach to Investigate the Effect of Food Intake on Release Profile of Valsartan in Solid Dispersion-Floating Gel *In-Situ* Delivery System**” by Himawan et al. for publication in *International Journal of Pharmaceutics*.

In this study, we developed a novel combination delivery approach consisting of floating *in-situ* gel and solid dispersion containing valsartan to both improve the solubility and sustaine the release of valsartan. Initially, a suitable single polymer matrix system was assessed to develop the SD formulation based on its water saturation solubility. Afterwards, the VAL-SD was incorporated into a floating gel *in-situ* formulation. Finally, the *in-situ gel* formulations were evaluated for their physical properties and drug release profile. Importantly, for the first time, we further investigated the effect of food intake on the *in vitro* release profiles of VAL in biorelevant media using FaSSGF (Fasted-State Simulated Gastric Fluid) and FeSSGF (Fed-State Simulated Gastric Fluid). The results showed that all physical evaluation of the floating *in-situ gel* formula possessed desirable physical properties and the use of HPMC in floating *in-situ gel* was able to sustain the *in vitro* release of VAL for 24 hours in biorelevant media. Importantly, the effect of food intake on VAL release was also investigated, for the first time, showing that the VAL release could be controlled in FaSSGF (Fasted-State Simulated Gastric Fluid) in 2 h and FeSSGF (Fed-State Simulated Gastric Fluid) onwards. Thus, in can be hypothesized that the food intake did not affect the VAL release after 2 h in an empty gastric environment. Therefore, from these *in vitro* studies, in order to maintain the sustained release profile of VAL, it can be anticipated that the oral administration of this system can be followed by food intake after 2 h.



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FACULTY OF PHARMACY

Alamat Jalan Perintis kemerdekaan Km.10, Makassar 90245  
Telepon (0411) 588556, Faksimili (0411) 590663  
Laman: farmasi@unhas.ac.id

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We believe that this finding will be of interest to the scientists working on pharmaceutical technology, pharmaceuticals, polymer technology and correlation *in vitro* and *in vivo* evaluations. This manuscript has not been previously published in any language anywhere and that it is not under simultaneous consideration by another journal.

We appreciate your attention. We hope you will now consider publishing our research in *International Journal of Pharmaceutics* and look forward to hearing from you in due course.

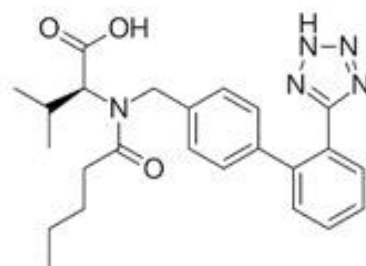
Yours Sincerely,

**Andi Dian Permana (on behalf of all authors)**  
**Faculty of Pharmacy**  
**Hasanuddin University**  
**Indonesia**  
**Email: andi.dian.permana@farmasi.unhas.ac.id**

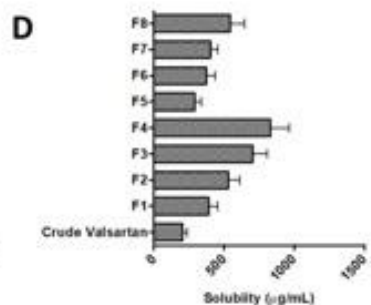
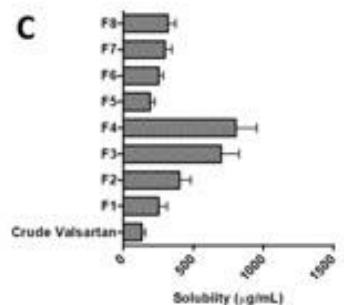
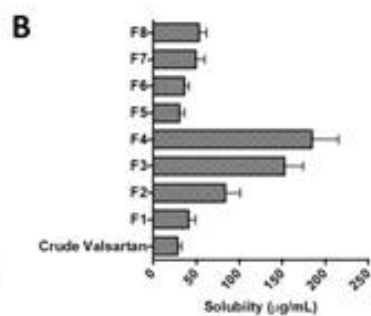
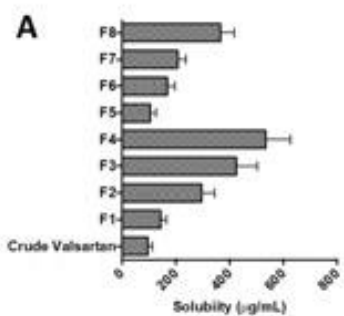
**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:



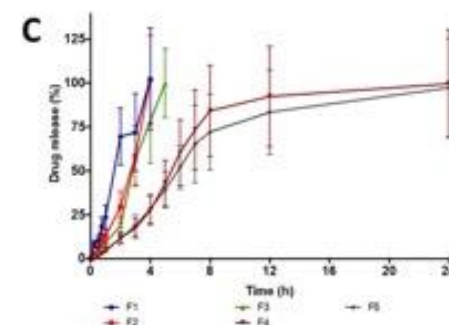
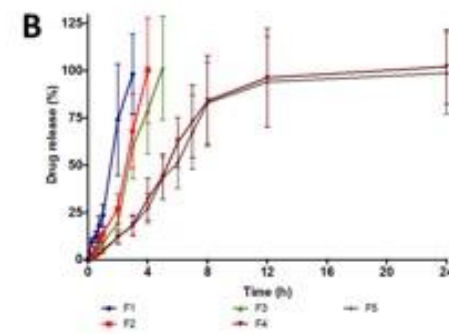
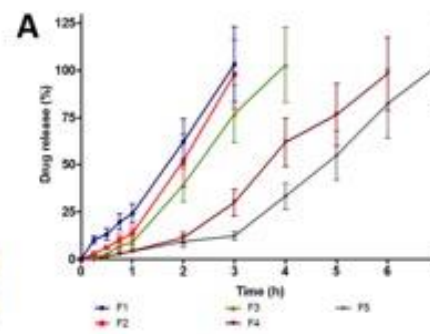
Valsartan



Improved solubility



Solid dispersion in floating *in-situ* gel



Sustained the release of valsartan in Fasted-State Simulated Gastric and FluidFed-State Simulated Gastric Fluid

1 **A Novel *In Vitro* Approach to Investigate the Effect of Food Intake on Release**  
2 **Profile of Valsartan in Solid Dispersion-Floating Gel *In-Situ* Delivery System**

3

4 **Achmad Himawan<sup>1,2</sup>, Nana Juniarti Natsir Djide<sup>1</sup>, Sandra Aulia Mardikasari<sup>1</sup>,**  
5 **Rifka Nurul Utami<sup>1</sup>, Andi Arjuna<sup>1</sup>, Ryan. F. Donnelly<sup>2</sup>, Andi Dian Permana<sup>1\*</sup>**

6 <sup>1</sup>Faculty of Pharmacy, Universitas Hasanuddin, Makassar, Indonesia

7 <sup>2</sup>School of Pharmacy, Queen's University Belfast, Northern Ireland, United Kingdom

8

9 **Corresponding author:**

10 **\*Andi Dian Permana ([andi.dian.permana@farmasi.unhas.ac.id](mailto:andi.dian.permana@farmasi.unhas.ac.id))**

11 Faculty of Pharmacy, Hasanuddin University, Makassar, Indonesia

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20 **Abstract.**

21 Valsartan (VAL) is a BCS class II drug with low solubility and high permeability and,  
22 thus, its formulations often encounter low bioavailability problems. Its low bioavailability  
23 can be improved through enhanced formulation, such as incorporating it into a solid  
24 dispersion system (SD). The absorption can be further enhanced through gastroretentive  
25 systems. Herein, we developed a novel combination delivery approach consisting of  
26 floating *in-situ* gel and SD. VAL was incorporated with polymer carrier PVP and PEG  
27 6000 and its solubility was then evaluated. The study found that VAL-SD containing PVP  
28 K-30 as the carrier with drug:PVP K-30 ratio of 1:3 shown highest solubility in different  
29 media. Moreover, DSC and XRD evaluations exhibited the change of VAL from crystal  
30 to amorphous following SD formulation. The SD was then formulated into floating *in-*  
31 *situ* gel preparations using sodium alginate as gel forming compound and HPMC as the  
32 controlled release matrix. The prepared VAL-SD floating *in-situ* gels were evaluated for  
33 their physical properties and drug release profile. The results showed that all physical  
34 evaluation of the floating *in-situ* gel formula possessed desirable physical properties and  
35 the use of HPMC in floating *in-situ* gel was able to sustain the *in vitro* release of VAL for  
36 24 hours in biorelevant media. Importantly, the effect of food intake on VAL release was  
37 also investigated, for the first time, showing that the VAL release could be controlled in  
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40 the VAL release after 2 h in an empty gastric environment. Leading on from these results,  
41 *in vivo* studies in an animal model should be carried out to further assess the potency of  
42 this system.

43

44 **Keywords:** Valsartan, Solid dispersion, Floating gel in situ system, Controlled release

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50 **1. Introduction**

51 Valsartan (VAL) is an angiotensin II receptor-specific blocker with a high affinity to  
52 the angiotensin type I receptor and widely used to treat hypertension, post-myocardial  
53 infarction, and congestive heart failure (Beg et al., 2012; Huang et al., 2017; Park et al.,  
54 2010). VAL is given orally, usually in the form of tablets or capsules. This drug is acidic,  
55 has low aqueous solubility (<0.1 mg/mL) and high membrane permeability, indicated by  
56 its high log P value of 5.8. VAL belongs to Class II of the Biopharmaceutical Drug  
57 Classification System (BCS) (Beg et al., 2012). Its oral bioavailability is relatively low  
58 (23-39%) due to its poor water solubility and relatively short half-life (<6 hours). In the  
59 systemic circulation, VAL experiences extensive first-pass hepatic metabolism in the  
60 liver. It also possesses a high P-gp efflux and shows fluctuating oral bioavailability if  
61 taken in the presence of foods. These properties negatively affect its pharmacokinetics by  
62 decreasing the AUC by 40% and peak plasma concentration by 50% (Beg et al., 2012;  
63 Husseiny et al., 2018)

64 Several systems have been studied to improve the water solubility of drug substances,  
65 including solid dispersion (SD) (Yan et al., 2012). An SD is a drug dispersion in an  
66 amorphous polymer matrix, especially hydrophilic polymer such as PVP and PEG, where  
67 the drug is preferably in the molecularly dispersed state (dos Santos et al., 2018). The  
68 dispersed state has encompassed many forms, such as crystalline/glass solutions, eutectic  
69 mixtures, and amorphous/crystalline suspensions (Huang and Dai, 2014). A polymeric  
70 SD is considered an effective means to overcome API with low water solubility.  
71 Mechanisms contributing to the enhancement of API aqueous solubility and dissolution  
72 profile include inherently higher amorphous API apparent solubility, API dissolution in  
73 the carrier matrix, increasing particle porosity, reduced particle size, reduced  
74 agglomeration and aggregation (Krstić et al., 2020).

75 Synthetic hydrophilic polymers are often used in SD, due to their various advantages.  
76 Among all others, polyvinylpyrrolidone (PVP) K-30 is one of the superior choices. Its  
77 high-water solubility may aid the SD wettability and dissolution rate. PVP K-30 also can  
78 help stabilize the amorphized drug crystal (Jahangiri et al., 2015). Alongside PVP K-30,  
79 poly(ethylene) (glycol) (PEG) 6000 is also commonly used to prepare an SD system. It  
80 has a low melting point, is relatively non-toxic, has extensive drug compatibility and high  
81 hydrophilicity. These characteristics make PEG 6000 a popular choice because it offers  
82 promising outcomes and flexibility in choosing a fabrication method (Afifi, 2015; Zhai  
83 et al., 2017). Several studies have shown the effectiveness of PVP K-30 and PEG 6000  
84 in improving the solubility of hydrophobic drugs, enhancing the bioavailabilities and,  
85 thus potentially improving the pharmacological activities of the drugs. (Alves et al., 2014;  
86 Febriyenti et al., 2019; Permana et al., 2021a)

87 As mentioned previously, one of the reasons for biolow availability of VAL is its short  
88 half-life. Therefore, to overcome this issue, one of the approaches that can be used is by  
89 controlling the release of VAL. Various controlled release drug formulations with specific  
90 therapeutic purposes have been designed based on the active ingredients' physiochemical,  
91 pharmacological, and pharmacokinetics properties (Sharma et al., 2014). Gastroretentive  
92 drug delivery system (GRDDS) has been proposed as an alternative to a conventional oral  
93 route delivery to overcome some of its many weaknesses. By formulating drugs substance  
94 into GRDDS, it is guaranteed that the whole dosage form remains within the stomach  
95 region for a longer duration (Kathpalia et al., 2019; Naveen et al., 2017; Rahamathulla et  
96 al., 2019). GRDDS may improve the bioavailability of drug molecules by maintaining  
97 continuous drug release in the gastrointestinal tract due to increased gastric residence  
98 time. Furthermore, using this system makes it possible to reduce the dosing frequency of

99 drugs and may help improve patient compliance. GRDDS could be beneficial, especially  
100 for drugs with a short half-life (Mahmoud et al., 2019; Shakya et al., 2013).

101 Several mechanisms can be implemented to increase the gastric residence time of the  
102 drug of interest. Mechanisms such as floating systems (Kathpalia et al., 2019; Naveen et  
103 al., 2017; Shakya et al., 2013), mucoadhesive systems (Nappinnai and Sivaneswari, 2013;  
104 Vashisth et al., 2017), resinate complexes (Daihom et al., 2020; Umamaheshwari et al.,  
105 2008), porous systems (Hwang et al., 2019; Kim et al., 2014; Oh et al., 2013), and density  
106 modified systems (Desai and Purohit, 2017; Sharma et al., 2018). The floating drug  
107 delivery system, especially the *in-situ* forming gel carrier, is particularly interesting. The  
108 system is meant for gastric retention and will float on the gastric fluids' surface after  
109 contact, usually due to their low density, then produce a prolonged effect by slowing the  
110 release of the drug. These formulations remain in solution or suspension form before  
111 administration, but they undergo sol-to-gel transition once they reach the stomach. A  
112 study reported a successful formulation of a floating raft system of mitiglinide calcium,  
113 an antidiabetic drug with a very short half-life and prolonged its release for 24 hours period  
114 (Mahmoud et al., 2019). Similarly, pregabalin (half-life 3-6 hours), an analog of gamma-  
115 aminobutyric acid, also had been successfully prepared into a floating gel *in situ* system.  
116 The formulation of pregabalin tailored its release to be sustained over 12 hours period  
117 (Madan et al., 2015).

118 In the present work, we report for the first time, the combination approach of the SD  
119 and the floating gel *in-situ* formulation to improve the solubility and control the release  
120 of VAL. Initially, a suitable single polymer matrix system was assessed to develop the  
121 SD formulation based on its water saturation solubility. Afterwards, the VAL-SD was  
122 incorporated into a floating gel *in-situ* formulation. Finally, the *in-situ gel* formulations

123 were evaluated for their physical properties and drug release profile. Importantly, for the  
124 first time, we further investigated the effect of food intake on the *in vitro* release profiles  
125 of VAL in biorelevant media using FaSSGF (Fasted-State Simulated Gastric Fluid) and  
126 FeSSGF (Fed-State Simulated Gastric Fluid). The outcomes of this study could be  
127 beneficial to be applied to investigate the effect of food intake on *in vitro* release profiles  
128 of various drugs from other drug delivery systems.

129

130 **2. Materials and Method**

131 *2.1. Materials*

132 Materials used in this research were valsartan, poly(vinyl pyrrolidone) (PVP) K-30,  
133 poly(ethylene) (glycol) (PEG) 6000, sodium alginate, hydroxypropylmethyl cellulose  
134 (HPMC) K-100, sodium bicarbonate, calcium chloride, hydrochloric acid, acetonitrile,  
135 sodium dihydrogen phosphate, and distilled water. All materials and reagents were  
136 analytical grade and used without further purification.

137 *2.2. Methods*

138 *2.2.1. Preparation of Valsartan Solid Dispersion.*

139 Valsartan solid dispersion (VAL-SD) was prepared using the solvent evaporation  
140 method. The ingredients were listed in Table 1. All components were dissolved in 25 ml  
141 methanol. The solution was then poured into an evaporation dish and let air-dried at room  
142 temperature for 48 hours. The dries mass was then recovered, ground into powders, and  
143 stored in airtight containers.

144

145

**Table 1.** Formulation chart of valsartan solid dispersion system

	Formula Composition		
	Valsartan (g)	PVP K30 (g)	PEG 6000 (g)
F1	1	1	-
F2	1	2	-
F3	1	3	-
F4	1	4	-
F5	1	-	1
F6	1	-	2
F7	1	-	3
F10	1	-	4

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149 2.2.2. Analytical Method

150 Valsartan concentrations in all the samples were quantified using an isocratic HPLC  
151 method. The HPLC analysis of valsartan was carried out using a reverse-phase system  
152 with LC-20A quaternary gradient pump with SPD-20A UV-Vis detector (Shimadzu  
153 Prominence, Shimadzu, Kyoto, Japan). A Zorbax Eclipse Plus C18 column (Agilent,  
154 4.6 x 150 mm) with a particle size of 5 µm was used as the stationary phase, and a mixture  
155 of acetonitrile and water adjusted to pH 2.5 with water (40:60 v/v) was used as the mobile  
156 phase. The process was carried out at 25°C with a flow rate of 1.0 mL/min and an injection  
157 volume of 50 µL. Samples were measured at 275 nm using a UV detector.

158 A series of VAL solutions in various concentrations were prepared and measured using  
159 the HPLC system explained above. The calibration curve was then constructed by plotting  
160 concentration and peak area obtained from the chromatogram, which was then further  
161 analyzed using linear regression, establishing the regression equation, coefficient of  
162 determination, and residual sum of squares (RSS). The calibration curves were  
163 constructed for three consecutive days to determine the linearity of the method. Limit of  
164 Detection (LoD) and Limit of Quantification (LoQ) were calculated based on the standard  
165 deviation of the calibration curve as per International Conference on Harmonization  
166 (ICH) guidelines. The equation for LoD and LoQ were as follow:

167 
$$LoD = \frac{3.3 \sigma}{S} \quad (1)$$

168 
$$LoQ = \frac{10\sigma}{S} \quad (2)$$

170

171 where  $\sigma$  is the standard deviation of the response and S is the slope of the calibration  
172 curve. Three different concentrations of VAL solutions were prepared to represent the  
173 low, mid, and high portion of the calibration curve. The measured results were used to

174 calculate the precision and accuracy parameter of the method. The method was considered  
175 valid if the mean accuracy (%RE) and precision (%CV) fall between 15% of the actual  
176 value. In comparison, the LOQ is acceptable if the results did not deviate more than 20%  
177 from the mean (ICH, 2005)

#### 178 2.2.3. Determination of Drug Recovery

179 An amount of VAL-SD dried powder (F1-F8), equivalent to 50 mg VAL (calculated  
180 from the theoretical weight), was dispersed in 50 ml of methanol until fully dissolved  
181 with the aid of a sonicator bath for 30 minutes. The final solution was then filtered through  
182 a 0.45 µm membrane filter and quantified using the validated HPLC method.

#### 183 2.2.4. Determination of Saturation Solubility.

184 Each Val-SD dried powder (F1-F8) was dispersed in an excessive amount of four  
185 different test media (purified water, hydrochloric acid 0.1 M pH 1.2, phosphate buffer  
186 saline (PBS) solution pH 6.8, and PBS solution pH 7.4) in a glass vial. The vial was then  
187 placed in a shaking incubator set at 37°C for 24 hours. After 24 hours, the solution was  
188 filtered, and the amount of VAL in the solution was determined using the abovementioned  
189 HPLC method.

#### 190 2.2.5. *Thermal Analysis*

191 The VAL-SD with the highest solubility was subjected to differential scanning  
192 calorimetry (DSC) measurement using DSC 2920 (TA Instruments). Pure VAL was  
193 chosen as a comparison and both samples were scanned from 0 to 300°C. This  
194 measurement was performed to confirm the formation of a SD system.

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#### 201 2.2.6. *X-Ray Diffraction Study.*

202 Solid-state analysis was performed using an X-Ray diffractometer. XRD Instrument  
203 by Rigaku Corporation is used, and both the best VAL-SD and VAL were scanned from  
204 5-60° 2 $\theta$ .

#### 205 2.2.7. Formulation of Solid Dispersion Loaded Floating Gel In-Situ.

206 Alginate-based floating *in-situ* gel formulations were prepared by dispersing sodium  
207 alginate in hot water (~80°). After the homogenous alginate dispersion was formed and  
208 cooled, sodium bicarbonate, calcium chloride, HPMC K-100, and Val-SD powder were  
209 added (Table 2). The mixture was then homogenized and stored in airtight containers  
210 before evaluation. Only Val-SD with the highest solubility was used for this section  
211 forward.

212 **Table 2.** Formulation chart of VAL-SD floating in-situ gel system

	Val-SD (Equal to Valsartan)	HPMC K100	Sodium Alginate	NaHCO <sub>3</sub>	CaCO <sub>3</sub>	Distilled Water
F1	1	0	2	1	1	
F2	1	0,25	2	1	1	
F3	1	0,5	2	1	1	up to 100 mL
F4	1	0,75	2	1	1	
F5	1	1	2	1	1	

213

#### 214 2.2.8. In Vitro Gelation Study.

215 An *in vitro* gelation study of each of the formulation was carried out. An aliquot of  
216 10 mL of the dispersions was measured and added into 500 ml 0.1 N hydrochloric acid  
217 solution (pH 1.2) in beaker glass with continuous stirring. The agitation was kept at a  
218 minimum to avoid disruption on the gel that was formed. The degree of gelling was scored  
219 visually (Singhavi et al., 2017).

220

221

222

#### 223 2.2.9. In Vitro Floating Study.

224 The floating study was performed using USP Dissolution Apparatus II. An aliquot of  
225 10 mL of the floating *in-situ* gel formulation was drawn up using a disposable syringe  
226 and placed in a 4.5 cm diameter Petri dish (without lid). The Petri dish was then placed  
227 in the dissolution vessel containing the 500 ml 0.1 N HCl (pH 1,2) without disturbing it.  
228 The time that was taken by the gel-forming liquid to rise to the surface of the medium and  
229 the time taken by the gel to float continuously on the surface of the medium were noted  
230 as the floating lag time and the duration of floating. The temperature of the medium was  
231 maintained at  $37 \pm 0.5$  °C during the test (Singhavi et al., 2017).

#### 232 2.2.10. *Viscosity Measurement and Gel Strength Measurement.*

233 The viscosity was determined using Brookfield Viscometer DV III (Brookfield  
234 Engineering Laboratories, MA) at 50 rpm using spindle number 2. For gel strength  
235 measurement, a Rheometer (TA Instrument, USA) was used. All measurements were  
236 performed in triplicate.

#### 237 2.2.11. *In Vitro Drug Dissolution Study.*

238 The drug release profile was determined using USP Dissolution Apparatus II (paddle)  
239 at 50 rpm. For each floating gel *in-situ* formula, 10 ml dispersion was used and put in a  
240 dissolution vessel filled with 900 ml of test media. Five different test conditions were  
241 used in this study to simulate the performance of the formulation in the fasted gastric  
242 state, fed gastric state, fed gastric state after 1 hour, 2 hours, and 3 hours in fasted  
243 condition. FaSSGF (Fasted-State Simulated Gastric Fluid) was prepared by dissolving  
244 1.999 g of NaCl in purified water, adjusted to pH 1.5 with HCl 1 M, and then the volume  
245 was made up to 1 L using purified water (Permana et al., 2020). For FeSSGF (Fed-State  
246 Simulated Gastric Fluid), skimmed milk and acetate buffer pH 5.0 were mixed at a 1:1  
247 ratio. For each liter of the media, 500 mL of milk and 480 mL acetate buffer were mixed

248 under constant stirring using a magnetic stirrer. The mixture was then adjusted to pH 5  
249 with 1 M HCl, and the volume was made up to 1 L using acetate buffer (Baxevanis et al.,  
250 2020). The dissolution tests were carried out for 24 hours, and samples were collected  
251 promptly. New dissolution media was added to the test vessel after each sampling with  
252 an equal volume of fluid taken. The amount of released drug was determined using the  
253 validated HPLC method.

#### 254 2.2.12. Drug Release Profile Comparison and Kinetics

255 The difference ( $f_1$ ) and similarity factor ( $f_2$ ) calculations based on in vitro dissolution  
256 data were carried out using the following equations:

$$257 \quad f_1 = \left\{ \frac{\sum_{t=1}^n (R_t - T_t)}{\sum_{t=1}^n R_t} \right\} \times 100 \quad (3)$$

258

$$259 \quad f_2 = 50 \times \log \left\{ \frac{1}{\sqrt{1 + \frac{1}{n} \sum_{t=1}^n (R_t - T_t)^2}} \times 100 \right\} \quad (4)$$

260 In those equations, n is the sampling time,  $R_t$  is the reference dissolution percentage at  
261 time t, and  $T_t$  is the test dissolution percentage at time t. The difference factor ( $f_1$ ) is the  
262 difference percentage between two dissolution rates at the overall sampling points. The  
263 similarity factor ( $f_2$ ) is the logarithmic square-root transformation of the difference  
264 between the two formulations. The comparison will be concluded as similar if the value  
265 of  $f_1$  is lower than 15 and  $f_2$  is greater than 50 (Aliyah et al., 2021).

266 The dissolution kinetics of the formulations were determined using the best-fitted  
267 regression method based on the coefficient of correlation of the equations. Five different  
268 mathematical models were used to predict the dissolution profile of the formulation across  
269 all tested media. The equations were as follow:

$$270 \quad \text{Zero Order Kinetics: } C_t = C_0 + k_0 t \quad (5)$$

271 
$$\text{First Order Kinetics: } \ln C_t = \ln C_0 + k_1 t \quad (6)$$

272 
$$\text{Higuchi Model: } C_t = k_H \sqrt{t} \quad (7)$$

273 
$$\text{Korsmeyer – Peppas Model: } C_t = k_{KP} t^n \quad (8)$$

274 
$$\text{Hixson – Crowell Model: } C_t^{1/3} = C_0^{1/3} k_{HC} t \quad (9)$$

275  $C_t$  is the concentration of dissolved drug at time  $t$ ,  $C_0$  is the initial concentration of the  
276 drug in test media (at  $t=0$ ),  $k_0$  is zero-order kinetics constant,  $k_1$  is first-order kinetics  
277 constant,  $k_H$  is Higuchi model constant,  $k_{KP}$  is Korsmeyer-Peppas model constant, and  
278  $k_{HC}$  is Hixson-Crowell model constant. All calculations were performed using DD-solver  
279 software (Aliyah et al., 2021).

### 280 **3. Results and Discussions**

281 VAL is an antihypertensive drug whose effect arises from its antagonist action on  
282 angiotensin-II receptors. VAL is given orally with the dosage ranging from 20 mg up to  
283 320 mg daily, depends on the underlying patient's condition. VAL bioavailability is low,  
284 ranging from 23% to 39% in humans, due to its low water solubility at a low pH value.  
285 VAL is a weak acid with pH-dependent aqueous solubility where its solubility increases  
286 as the pH rise. With two pKa values (3.9 and 4.73), VAL molecules will be unionized at  
287 pH values below 3.9 and ionized at pH values above 4.73 (Xu et al., 2016). These  
288 properties serve as a challenge for drug formulation containing VAL. In order to be  
289 absorbed faster through the oral route, the absorption process should occur in the gastric  
290 juice, as it is independent on the gastric emptying time. In this study, we propose a novel  
291 formulation combining two approaches: solubility improvement in acidic media by  
292 incorporating VAL into the SD system while simultaneously retaining its release in the  
293 stomach *via in situ* floating gel formation.

294 SD provides definite advantages in the solubility improvement of a poorly soluble  
295 drug. It is a relatively simple approach that takes advantage of the interaction of  
296 hydrophobic drugs with hydrophilic carriers. SD that exploits amorphous polymeric  
297 material as the carrier matrix is considered a second-generation SD and still widely  
298 studied until recent time. PEG and PVP are among the most used polymeric materials in  
299 an SD system. The properties and advantages of these polymers have been described and  
300 demonstrated in various studies (Afifi, 2015; Bley et al., 2010; Febriyenti et al., 2019;  
301 Lima et al., 2011; Zhai et al., 2017). An SD-containing polymeric matrix can be formed  
302 *via* multiple techniques such as melting, kneading, solvent evaporation, spray-drying, and  
303 lyophilization. The method selected depends on the properties of the drug and polymer  
304 used. The advantages and disadvantages of each of the processes also need to be  
305 considered.

306 PEG is a polymer of ethylene oxide with a wide-range molecular weight (200 to  
307 300.000). Solid PEG is an interesting material used in SD formulations. It possesses  
308 unique characteristics, including its capability to form solid drug solutions, low melting  
309 point, fast solidification rate, nontoxic, and relatively cheap price (Eloy and Marchetti,  
310 2014). PVP is an amorphous polymer (unlike PEG, which is semi-crystalline) with good  
311 biocompatibility and water solubility. It is used extensively in SD preparation as it offers  
312 many advantages, and one of the most prominent ones is the ability to stabilize  
313 amorphized drug crystals (Wang et al., 2017). In this study, an SD system containing  
314 VAL in PVP K-30 and PEG 6000 matrices was prepared using the solvent evaporation  
315 method with methanol as the solvent. This method was selected because it simplifies  
316 manufacturing techniques as it does not require a specialized machine to perform. Eight  
317 different types of SDs with varying drug to polymer ratio and polymer composition

318 (composition according to Table 1.) were prepared in this study to compare the  
319 performance of PVP K-30 and PEG 6000 as a single polymeric carrier.

### 320 *3.1. Analytical Method Validation*

321 A HPLC method was developed and optimized for the quantification of VAL in testing  
322 media. The validation of the analytical method was completed per ICH guidelines. The  
323 LOD and LOQ values of the validated method were 1.06 µg/mL and 3.23 µg/mL in  
324 FaSSGF media. In FeSSGF media, the LOD and LOQ were 0.53 µg/mL and 1.62 µg/mL  
325 respectively. We found these values satisfactory for *in vitro* test used in this research.  
326 This method has a linearity range between 0.5 µg/mL and 50.0 µg/mL with R-squared  
327 >0.9995 both for FaSSGF and FeSSGF. Intra and Inter-day measurement to establish the  
328 precision and accuracy of the method were also satisfactory as both %RSD and %RE  
329 were within the acceptance limit (<15%) (Permana et al., 2021b, 2019)

### 330 *3.2. Saturation Solubility*

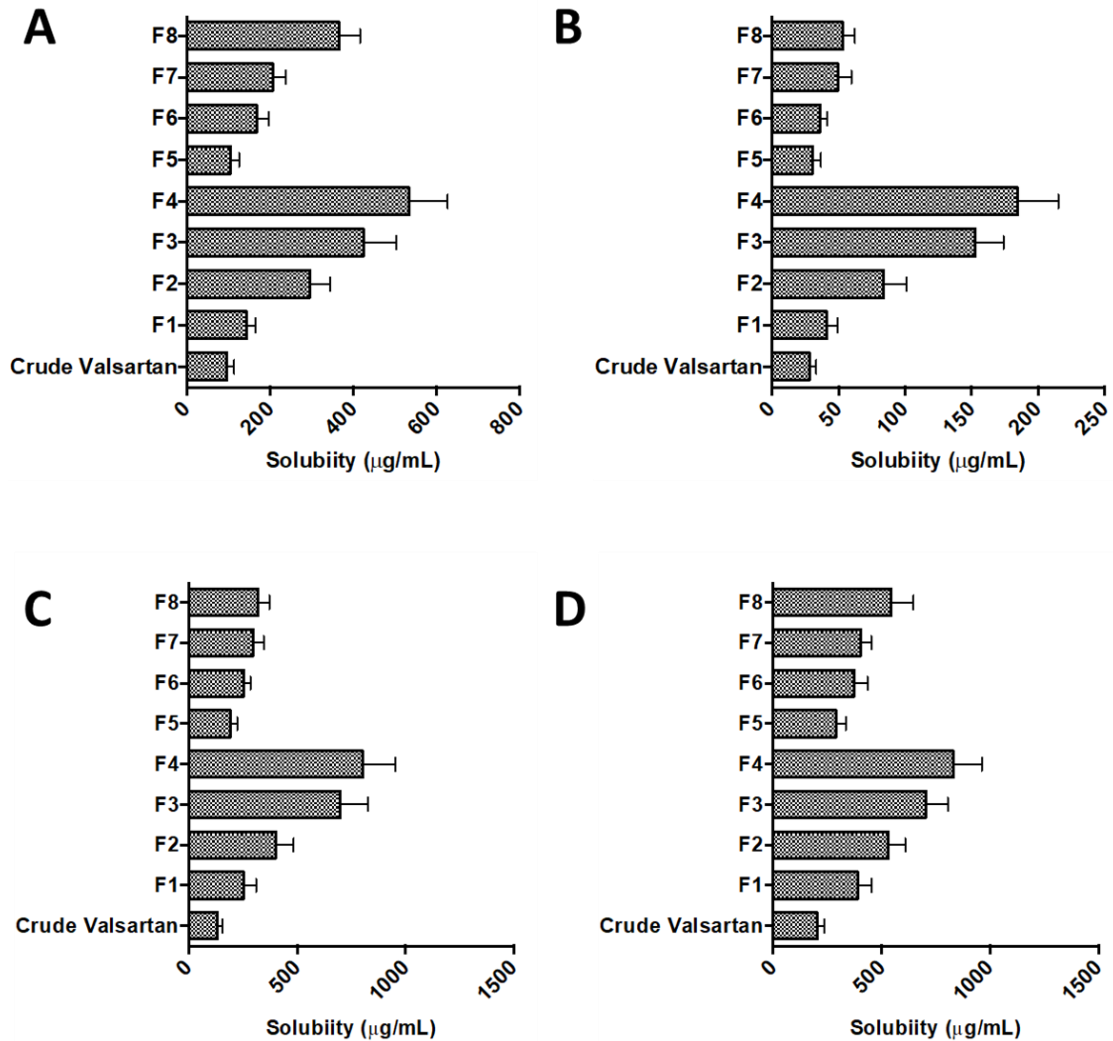
331 We assessed the performance of the SD using the solubility test on four different media  
332 with different pH values, as shown in Figure 1. We observed that the solubility trend of  
333 all SD formulations was similar in all testing media. This study confirmed that VAL and  
334 all VAL-SD formulations show higher solubility at a higher pH value which further  
335 proves that VAL retains its pH-dependent solubility profile even after transforming it into  
336 an SD system. This pH-dependent solubility was mainly due to its free carboxylic acid  
337 functional group (Park et al., 2010). This exciting finding suggests that it is possible to  
338 increase the solubility of a drug substance without altering the environment of the  
339 absorption site (e.g., adding pH modifier into a formulation). This system can enable  
340 flexibility in the formulation of a drug delivery system for such drugs where the pH of

341 the absorption location greatly influences their solubility, especially when administered  
342 *via* the oral route.

343 In an SD system, the matrix can act as a solubilizer. Due to their hydrophilic nature of  
344 the carrier, they can improve the SD wettability, thus improving its solubility in an  
345 aqueous system. PVP and PEG are hydrophilic polymers often used in solid dosage forms  
346 as an excipient to enhance the dissolution and bioavailability of drugs (Ng et al., 2016).  
347 Comparing PVP K-30 and PEG 6000 formulation, the SD system using PVP K-30 as the  
348 carrier showed a very distinct superiority compared to the PEG 6000 counterpart. The  
349 solubility difference between the formulation (comparing PVP K-30 and PEG 6000  
350 system with the same polymer to drug ratio) was found the around 1.3 to 2.0-fold and was  
351 more significant in acidic media when it reached more than a 3.0-fold difference. At its  
352 higher polymeric to drug ratio, PEG 6000 only raised the solubility of VAL to 2.0-fold in  
353 acidic media, while the PVP K-30 system increased VAL's solubility up to 6.0-fold. In  
354 addition to its superior performance, PVP K-30 is an excellent choice, since it can inhibit  
355 drug crystallization in solid and liquid states (Yousaf et al., 2019) and stabilize the SD  
356 system for a more extended period and extend its shelf-life. VAL-SD F3 and F4 show no  
357 significant solubility difference in all media ( $p > 0.05$ ) if compared to each other. For this  
358 study, Val-SD F3 was used for further tests because it shows better solubility with  
359 minimal polymer matrix than F4. Choosing an SD system with a lower drug to matrix  
360 ratio should always be preferred, since it may ensure more extensive drug loading and  
361 lower bulkiness of the final SD product. A study using Chrysosplenol C, a different drug  
362 model, also indicated that PVP showed greater importance in increasing the aqueous  
363 solubility of a hydrophobic drug as the SD solubility of the drug was superior when using  
364 PVP K-30 compared to PEG 6000. The finding in the said study was supported by the

365 fact that the drug was more soluble in PVP K-30 aqueous dispersion than PEG 6000  
366 solution (Ng et al., 2016).

367



368  
369 **Figure 1.** Saturation solubility study results of the SD formulation in (A) water, (B) HCl  
370 0.1 M pH 1.2, (C) phosphate buffer pH 6.8 and (D) phosphate buffer pH 7.4  
371 (means  $\pm$  SD,  $n = 3$ )  
372

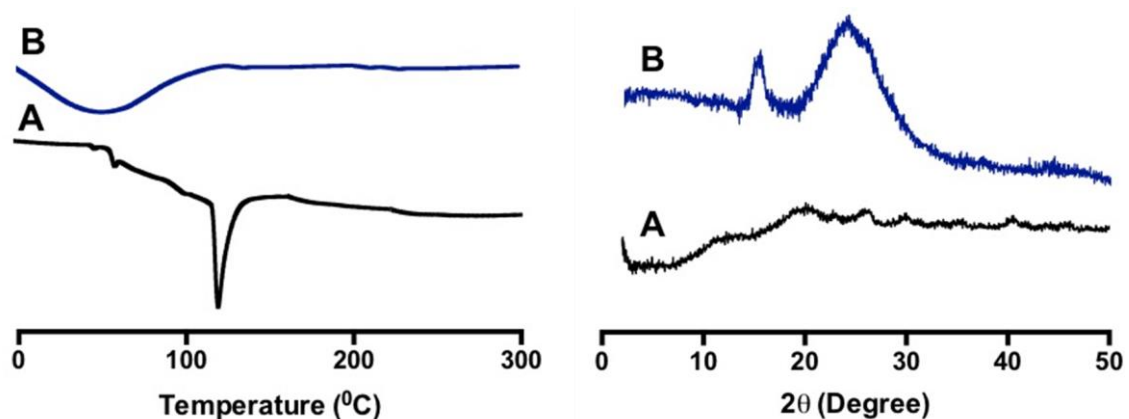
### 373 3.3. Thermal Analysis

374 To further confirm the formation of the VAL-SD, we investigated the final mixture  
375 (F3) using DSC techniques. Valsartan has a corresponding melting point at 116.7°C, as  
376 shown in figure 2 (left) (A) by a sharp endothermic peak in that value. Compared to VAL-

377 SD (B), no sharp melting peak was observed in the thermogram. A successful study in  
378 formulating valsartan into SD using HPMC and Poloxamer 188 also shows a similar habit  
379 in thermogram, where no VAL melting peak was observed in the final product (Xu et al.,  
380 2016). This finding may indicate the amorphous behavior of the SD system. Amorphous  
381 solids do not exhibit distinct endothermic peaks upon melting. This characteristic is due  
382 to the irregular intermolecular bond arrangement inside the solid structure, unlike the  
383 more orderly bond in the crystalline counterpart. The thermogram also indicated the  
384 formation of one continuous phase of an amorphous SD system.

385 Amorphous one-phase SDs have significant drug-carrier interaction, which leads to  
386 complete miscibility of drug and carrier components. Such systems are homogenous at  
387 their molecular level. In the attempt to amorphize a crystalline drug, a high drug-to-matrix  
388 ratio may be needed to maintain the amorphous state of the mixture over time during  
389 storage. An order of 50% by weight or more is considered satisfactory in most cases  
390 (Baird and Taylor, 2012). In this study, the VAL-SD (F10) has a 75% matrix component  
391 and 25% drug substance (Yousaf et al., 2019).

392



393

394

**Figure 2.** Thermogram (left picture) and Diffraction (right picture) of (A) Valsartan  
395 and (B) VAL-SD

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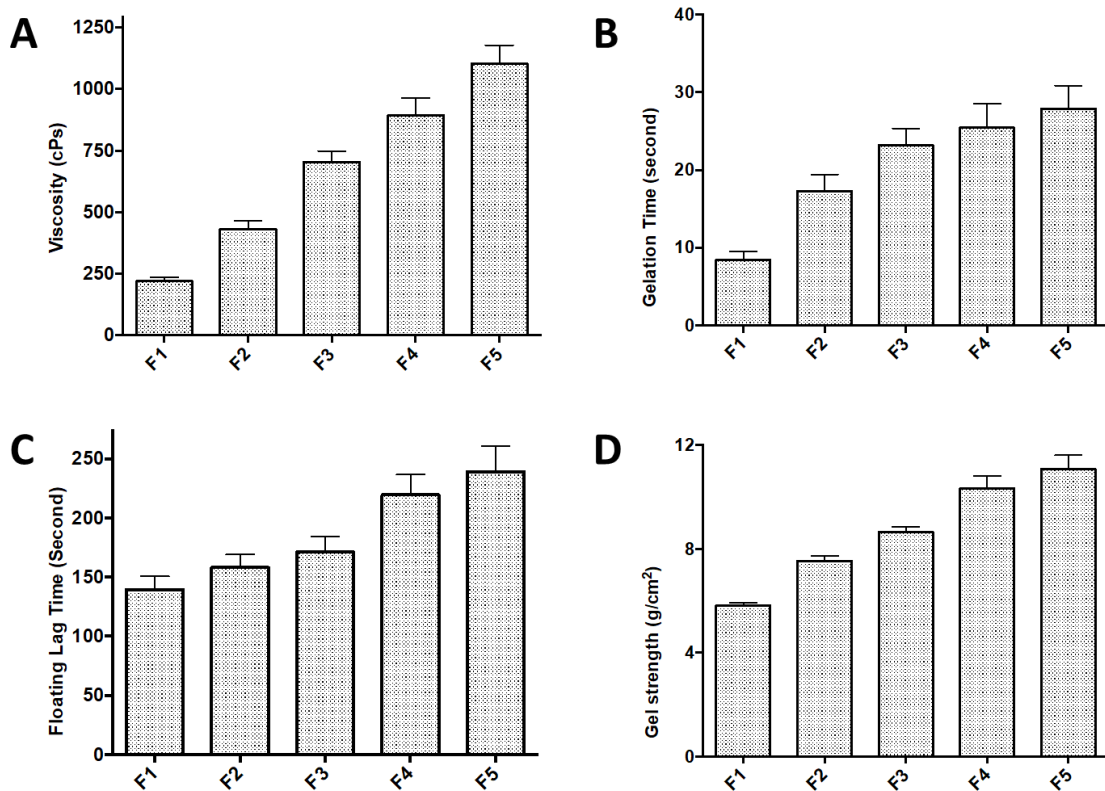
### 3.4. XRD Analysis

400 In this research, we used XRD to assess the solid-state properties of the VAL-SD in  
401 comparison with plain VAL. As shown in Figure 2 (right), VAL (A) showed a broad peak  
402 around 12° and 22°, indicating that the plain VAL was a crystalline powder. In contrast,  
403 the flat diffractogram of VAL-SD indicated that the system was indeed an amorphous  
404 solid with no trace of crystallinity. Similar findings had been reported by some studies  
405 using different drug and polymer mixture, where the authors observed amorphous solid  
406 as the form of the final SD system like Sorafenib in Soluplus SD (Truong et al., 2015),  
407 Cilostazol in Eudragit mixture (Park and Choi, 2015), and Tacrolimus in HPMC/SLS  
408 mixture (Jung et al., 2016). Crystalline material diffracts the X-ray beam at a certain angle  
409 because of the ordered and repeated crystalline structure, while amorphous solids do not.  
410 This diffractogram agrees with the DSC results in confirming the amorphous nature of  
411 the VAL-SD.

### 412 3.5. Viscosity, In Vitro Gelation Time, Floating Time, and Gel Strength Measurement

414 Ion crosslinking-based *in-situ* gel delivery system for a gastroretentive purpose serves  
415 a unique strategy in delivering a controlled release formulation in the liquid dosage form.  
416 Because the floating *in-situ* gel formulation used in this study is a thermodynamically  
417 non-stable system, careful control of viscosity is crucial as it one of the quality attributes  
418 that can affect the stability of the dosage form. Enough viscosity is required to ensure that  
419 the non-soluble excipient in the formulation stays homogenously dispersed upon  
420 standing, but low enough to retain its free-flowing properties and ease the swallowing  
421 process (Madan et al., 2015; R Jivani et al., 2010). Calcium carbonate is the non-soluble  
422 part of the formulation that acts as a calcium ion and secondary CO<sub>2</sub> source. Maintaining  
423 the amount of CaCO<sub>3</sub> to be consistent in each dosing is vital because it plays a significant  
424 role in gel-forming and the floating habit of the formula (Rajinikanth and Mishra, 2008).

425 In many studies, it had proven to be some of the contributing factors in tailoring the  
 426 desired release properties of this kind of formulation (Mahmoud et al., 2019; R Jivani et  
 427 al., 2010). The pre-gelation viscosity of all *in situ* floating gel formulations is illustrated  
 428 in Figure 3.A. We found that the viscosity of the formulations was between  $219 \pm 16$  cps  
 429 to  $1103 \pm 75$  cps, with significant differences between formulations ( $p < 0.05$ ). It is clearly  
 430 shown that the viscosity of the formulation rises as the concentration of the HPMC rise.  
 431 HPMC is a hydrophilic polymer used as a gelling agent and thickening agent in many  
 432 pharmaceutical formulations. The concentration used in this formulation is lower than its  
 433 concentration as a gelling agent to maintain the free-flowing character of the preparation.



434  
 435 **Figure 3.** Comparison of (A) viscosity, (B) gel strengths, (C) gelation time and (D)  
 436 floating lag time of all *in-situ* gel VAL-SD formulation (means  $\pm$  SD,  $n = 3$ )  
 437

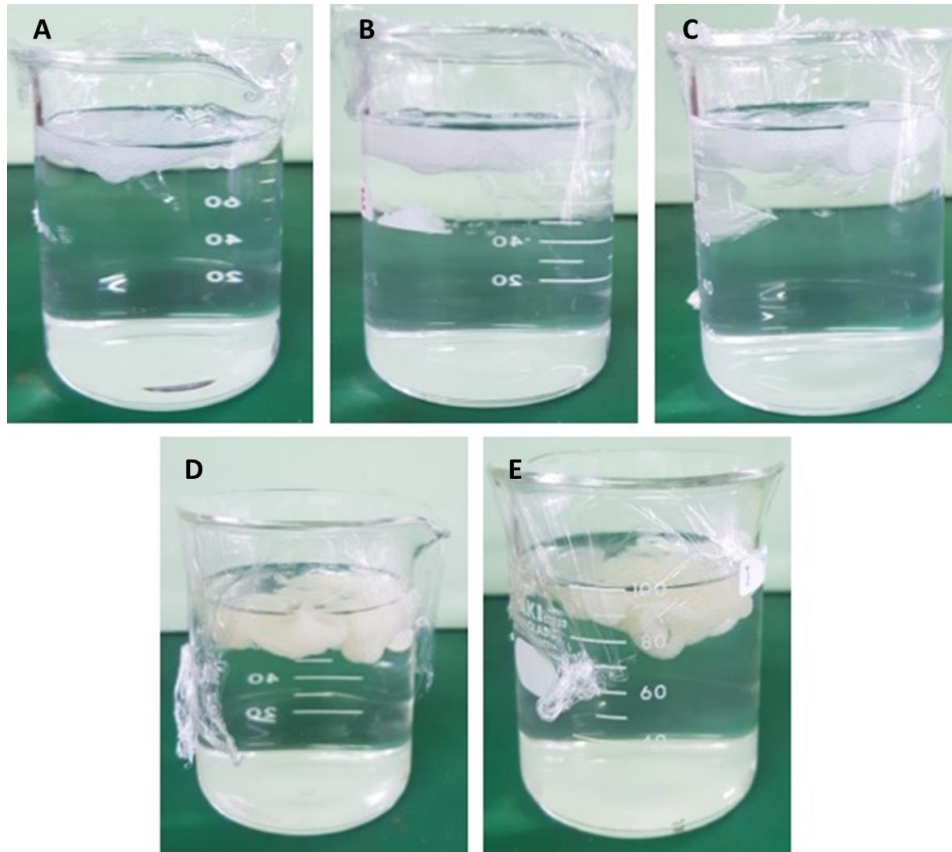
438  
 439 All studied formulations showed that they were capable of forming a gelled body upon  
 440 contact with acidic media with gelation time below 30 seconds (Figure 3.B). Gel

441 formation in this formulation was triggered by the complexation reaction between the  
442 carboxyl group from the guluronic moieties in the alginate polymeric network and  
443 calcium ( $\text{Ca}^{2+}$ ) ion to form a crosslinked "egg-box" structure (Dodero et al., 2019). The  
444 calcium carbonate in this formulation was the source of the  $\text{Ca}^{2+}$  ion. Still, since the  
445 carbonate form of the calcium salt is insoluble in water, the dispersion remained liquid  
446 during storage. When the liquid formulation meets acidic media (such as the gastric juice),  
447 the calcium carbonate reacts with the acid, forming soluble calcium salt and carbon  
448 dioxide gas. The newly liberated free  $\text{Ca}^{2+}$  ion triggers the crosslinking of the alginate  
449 polymer network, increasing its viscosity. If enough calcium ion is present, the system  
450 eventually forms a gel-like structure (Sharma et al., 2019).

451 It is essential to make the gelled system float and stays afloat in gastric juice to achieve  
452 the formulation's gastroretention characteristics. The buoyancy in this floating system  
453 comes from the entrapped carbon dioxide ( $\text{CO}_2$ ) bubbles inside the gel network  
454 (Karemore and Avari, 2019). The  $\text{CO}_2$  bubbles were formed when the gas was liberated  
455 from carbonate and bicarbonate reaction with acid, while the system also thickened when  
456 the crosslink reaction takes place. With the entrapped gas, the gelled body became less  
457 dense than its surrounding liquid, turning it into a floating mass (Karemore and Avari,  
458 2019). Availability of  $\text{Ca}^{2+}$  and  $\text{CO}_3^{2-}$  is vital during this phase as the  $\text{CO}_2$  entrapment is  
459 greatly affected by the integrity of the outer gel barrier. Lower  $\text{Ca}^{2+}$  concentration may  
460 compromise the structural integrity and results in a weaker gel structure, while a higher  
461 concentration of this ion may negatively affect the buoyancy property of the gel (Abou  
462 Youssef et al., 2015). Two sources of  $\text{CO}_2$  were used in this study, which were calcium  
463 carbonate and sodium bicarbonate, to improve the buoyancy of the preparation. The  $\text{CO}_2$   
464 gas from sodium bicarbonate helps the floating systems to gain more buoyancy without

465 adding extra  $\text{Ca}^{2+}$  ions, which impairs the gel structure. In our study, the *in vitro* buoyancy  
466 test showed that all formulations could float with floating lag time between two to almost  
467 four minutes (Figure 3.C) and stayed buoyant even after 24 hours. The results showed  
468 that, as the HPMC concentration in the formulation increased, both gelation and floating  
469 time also increased. This phenomenon was most likely contributed by the fact that the  
470 post-gelation viscosity of the formula also increases (following a similar profile with the  
471 pre-gelation viscosity) as the HPMC concentration gets higher. The microenvironment of  
472 the viscous body hinders the penetration of the acidic solution into the gel and retards the  
473 reaction between the acid and the carbon dioxide source (Mahmoud and Marzok, 2020).  
474 Similar findings related to prolonged lag time due to increasing polymeric material in the  
475 system also reported in different drug model formulations (Mahmoud et al., 2019).

476 Figure 3.D shows the gel strength of the gelled formulations. Changing the  
477 concentration of HPMC by 0.25% up to 0.75% gives a significant increase ( $p < 0.05$ ) in  
478 the gel strength properties of the formulation but increasing the concentration to 1% does  
479 not yield a substantial change of the gel strength ( $p > 0.05$ ). The trend that was shown in  
480 this test is in agreement with the viscosity measurement results, which was mainly due to  
481 the gel-forming characteristics of HPMC polymer. Maintaining a rigid gel structure is  
482 essential in floating gel formulation. This type of delivery depends on the available gel  
483 surface that makes contact with the dissolution media where the diffusion occurs. Drug  
484 molecules trapped in a complex 3D structure of the gel network needs to travel across the  
485 system to enter gastric fluid (Karemore and Avari, 2019). If the gel failed to maintain its  
486 integrity and broke down when agitated in the gastric environment, it would be difficult  
487 to achieve a consistent drug release profile. All formulations maintained structural  
488 integrity, and the gel strength of the gelled body can be seen in Figure 4.



**Figure 4.** *In vitro* floating test of F1 (A), F2 (B), F3, (C), F4 (D) and F5 (E)

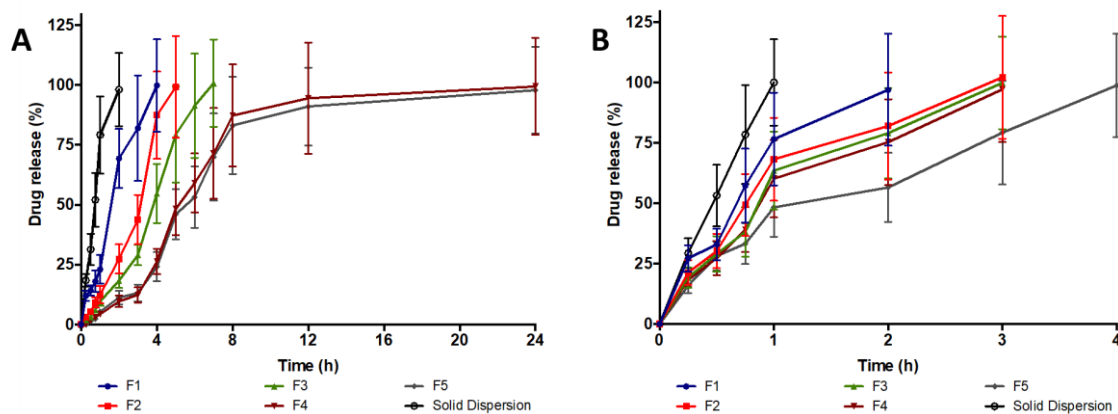
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490  
491  
492

### 3.6. *In Vitro* Dissolution Study

493 The formulated floating *in-situ* gel's performance containing VAL-SD was assessed  
494 based on the release profile of the preparation. The goal was to achieve a prolonged drug  
495 release over time and achieve 100% dissolved drug at the end. The burst effect was  
496 considered undesirable. The dissolution characteristic of the formulation and VAL-Sd in  
497 Fasted-State Simulated Gastric Fluid (FaSSGF) and Fed-State Simulated Gastric Fluid  
498 (FeSSGF) were studied. In addition, a comparison of the dissolution profile of all  
499 formulations was studied in the additional three dissolution conditions. The aim was to  
500 predict the formulations behaviors if they were ingested in fasted states, and then a meal  
501 was taken after that. These conditions were simulated by replacing the FaSSGF with  
502 FeSSGF after the formulation spent times in the previous media. The results in the form

503 of a graph representing cumulative % drug released vs time of all formulation can be seen  
504 in Figure 5 and Figure 6.

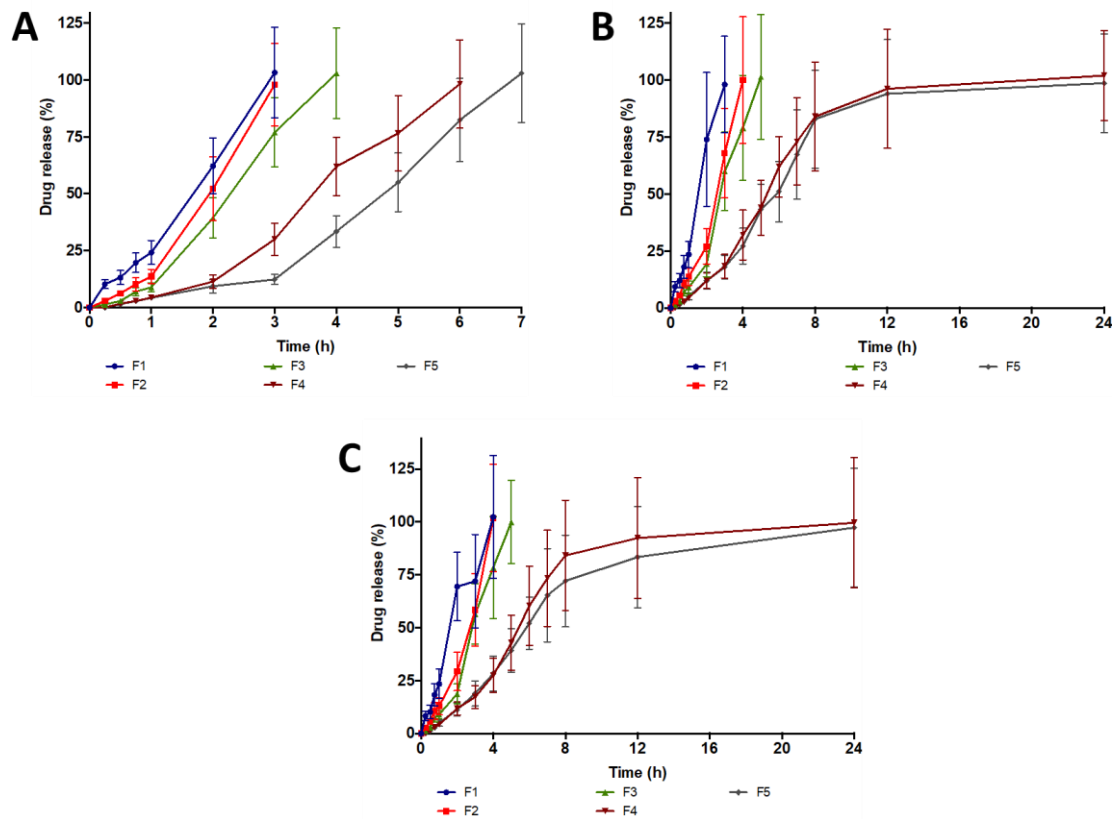
505 The graph in Figure 5 shows that the VAL-SD alone exhibits a good dissolution  
506 characteristic where a complete dissolution can be achieved before the fourth hour in  
507 fasted-state and before the first hour in fed-state. This difference was most likely due to  
508 the pH-dependent nature of VAL solubility and the different pH values of FaSSGF (pH  
509 1.5) and FeSSGF (pH 5.0) media, so the drug exhibited a faster solubility rate in fed  
510 condition. VAL is considered an acidic drug, so its dissolution in acidic gastric solution  
511 was generally poor compared to more basic intestinal fluid. However, in this study, a  
512 100% release in the simulated gastric fluid was successfully achieved, which was  
513 remarkable and suited the final goal of this research. When we aimed to formulate a drug  
514 into a gastroretentive system, assuring that complete dissolution can be accomplished in  
515 an acidic media should be the first problem to address. As an acidic drug, the VAL  
516 absorption window is in the gastric area because all VAL molecules are in the unionized  
517 form (Pradhan et al., 2016). Some studies report as low as 2% of drugs released using a  
518 different SD polymer system (Yan et al., 2012). All formulations showed excellent  
519 cumulative dissolution properties due to the inclusion of SD in the formula. The  
520 improvement of drug release in the SD system was attributed to the hydrophilic effect of  
521 the matrix that can reduce the surface tension of the drug and the dissolution media,  
522 leading to better wettability, solubility, and dissolution (Lima et al., 2011).



**Figure 5.** *In vitro* dissolution study of in-situ gel formulation in (A) FaSSGF and (B) FeSSGF (means  $\pm$  SD,  $n = 3$ )

The study showed that VAL could achieve 100% dissolved drug when the VAL-SD was incorporated into floating in-situ gel formulation with prolonged-release at varying extent. F1, F2, and F3 had extended-release between 4 hours and 8 hours, while F4 and F5 can retain the drug up to 24 hours before reaching complete dissolution. In this study, floating *in-situ* gel without HPMC (F1) was able to prolong the release of the drug up to two folds if compared with VAL-SD alone, both in fasted and fed state. This finding confirmed the ability of the floating ca-alginate matrix to retard the drug release to a certain level, thus served as a suitable carrier. To further improve this sustained release property, HPMC was introduced to the formulation as a second polymeric material. The inclusion of HPMC made the release curve steeper both in fasted and fed state simulated media. The more HPMC was used, the more gradual the slope of the release profile. A higher concentration of HPMC in the formula could retard the release of the drug from the gelled matrix because the density of the gel network also became denser and rigid (Jafar et al., 2018; Madan et al., 2015). Based on the graph, this experiment demonstrated that changing the HPMC concentration from 0.5% to 0.75% drastically altered the release profile in a more acidic environment. In a less acidic test media, this effect was not observed. Also, F4 (HPMC 0.5%) and F5 (HPMC 1%) does not exhibit a significant

544 difference in term of the dissolution profile in FaSSGF media, in contrast with the  
545 difference observed in FeSSGF. As the amount of  $\text{Ca}^{2+}$  ion is limited, some of the free  
546 carboxyl units in the polymeric alginate chain were unlikely to have the crosslinking  
547 reaction. These accessible carboxyl units can interact with the medium resulting in  
548 different gel habits. Sodium alginate generally has thicker viscosity in acidic media and  
549 can reach its maximum viscosity at pH 3-3.5. At lower pH, the free carboxyl moieties  
550 will become protonated and form hydrogen bonds with neighboring functional groups  
551 (Lee and Mooney, 2012). This phenomenon was expected to be responsible, at least  
552 partially, for the different release profiles of calcium alginate-based matrix in FaSSGF  
553 and FeSSGF.



554  
555 **Figure 6.** *In vitro* dissolution study of in-situ gel formulation in FeSSGF after (A) 1  
556 hour in FaSSGF/condition C, (B) 2 hours in FaSSGF/condition D, and (C) 3 hours in  
557 FaSSGF/condition E (means  $\pm$  SD,  $n = 3$ )  
558  
559

560 In this research, a comparison of the dissolution profile of the formulation in FeSSGF  
561 after it spent 1 hour, 2 hours and 3 hours in FaSSGF was made. As previously discussed,  
562 the drug release from floating *in situ* gel formulation is pH dependent. In order to form a  
563 gel, the formulation should contact low pH environment in empty gastric. However, it is  
564 important to bear in mind that it is impossible for the patient to keep their stomach empty  
565 to achieve sustained release for 24 h. Therefore, in this study, we aimed to simulate how  
566 food intake would affect the formulation's performance because food intake could alter  
567 the pH of gastric juice. This property was essential to establish as an *in-situ* crosslinked  
568 calcium alginate-based delivery system that relies heavily on the low pH of the gastric  
569 environment. The findings were illustrated in Figure 6. The difference between conditions  
570 can be seen from the graph, most notably when the floating *in-situ* gel spent 1 (condition  
571 C) hour and 2+ hours (condition D and E) in FaSSGF before transferring it FeSSGF. As  
572 the formulation spent 2+ hours more acidic media, the dissolution profile showed more  
573 retarded release than the formulation that spent only 1 hour in it. The formation of a gel-  
574 like structure after the formulation made contact with acidic dissolution medium is time-  
575 dependent. This was mostly due to the slow release of calcium ions from the calcium  
576 carbonate trapped inside the gel structure. When the preparation made contacted with  
577 acids, the surface of the liquid was the first portion of the formulation that formed a gel,  
578 creating a skin-like surface that encloses the remaining liquid. The acid then slowly  
579 permeated the "skin" layer into the structure and react with the remaining calcium  
580 carbonate. A more rigid and crosslinked network was formed as the contact time increase,  
581 and it was reflected in the dissolution profile. In condition C, all formulation released all  
582 the drug content before 7 hours while in condition D and E, F4 and F5 were able to retain  
583 the drug and release it slowly in 24 hours.

584 The release profile difference in different media between formulations and the release  
585 profile of formulations between dissolution conditions were established using the  
586 similarity factor formula. Ten comparisons for each formula and 15 comparisons for each  
587 condition were made and calculated. The similarity factor results are shown in Table 3  
588 and Table 4. When comparing conditions A and B (FaSSGF and FeSSGF media only),  
589 the results confirmed that all formulation exhibited different profiles that became more  
590 prominent as HPMC concentration increases. All formulations performed similarly in  
591 conditions A, D, and E, which proved that the formulation only partially possessed a pH-  
592 dependent release profile during the first two hours of gel-forming reaction. This property  
593 was further confirmed by the similarity results of F1 in comparison between different  
594 media. Some calculations showed "borderline" results, where only one criterion (usually  
595 f1) was fulfilled while the other one was not fulfilled with a slight deviation from the  
596 requirements. F3 showed this borderline behavior, while F4 and F5 showed drastic  
597 differences in performance in different test media. The comparison between formulae  
598 disclosed an exciting result, as it further confirmed the similarity between F4 and F5,  
599 which exhibited similar performances almost at every testing condition, except condition  
600 C. The outcome also verified that adding HPMC to the formulation gave a significant  
601 difference to the dissolution profile of the floating in-situ gel preparation. Interestingly,  
602 in FeSSGF, almost all formulations possessed similarities compared to each other, except  
603 when compared to F5 or sole VAL-SD.

604

605 **Table 3.** Comparison between five different dissolution condition of all formulation (F1-F5) and VAL-SD (The comparisons that were  
 606 concluded as "different" were highlighted)

607

Curve 1	Curve 2	F1		F2		F3		F4		F5		VAL-SD	
		f1	f2	f1	f2	f1	f2	f1	f2	f1	f2	f1	f2
A	B	20.64	33.31	36.13	25.58	48.59	22.66	93.63	17.24	90.94	19.41	9.11	46.64
A	C	6.28	58.34	12.84	38.68	23.43	33.78	31.90	35.88	24.35	42.15	-	-
A	D	4.20	64.99	5.71	55.78	13.43	44.52	5.89	74.58	4.22	81.10	-	-
A	E	3.92	71.10	6.30	60.74	12.57	46.29	4.36	78.82	8.55	65.42	-	-
B	C	23.03	32.75	25.33	32.61	30.69	31.53	63.39	22.95	74.77	22.04	-	-
B	D	15.25	34.00	22.45	28.28	25.25	27.27	47.62	17.99	47.14	19.65	-	-
B	E	20.97	32.02	21.99	27.66	24.82	26.90	48.58	17.55	49.92	19.17	-	-
C	D	5.43	63.56	6.62	47.94	6.85	50.06	15.68	37.06	13.37	40.99	-	-
C	E	4.86	52.20	8.58	44.26	8.23	48.32	16.62	35.85	16.18	37.72	-	-
D	E	6.67	54.67	3.03	74.60	1.66	87.87	2.34	83.47	5.41	67.24	-	-

608 Notes: Condition A= FaSSGF, Condition B= FeSSGF, Condition C= 1 hour in FaSSGF then continued to FeSSGF, Condition D= 2 hours  
 609 in FaSSGF then continued to FeSSGF, Condition E= 3 hours in FaSSGF then continued to FeSSGF

610 **Table 4.** Comparison between release profile of all formulation (F1-F5) and VAL-SD in five different dissolution condition (The  
 611 comparisons that were concluded as "different" were highlighted)

612

Curve 1	Curve 2	Condition A		Condition B		Condition C		Condition D		Condition E	
		f1	f2	f1	f2	f1	f2	f1	f2	f1	f2
F1	F2	14.49	38.56	7.58	57.99	9.02	57.78	13.05	39.66	9.26	45.19
F1	F3	24.90	29.63	7.94	52.70	10.29	46.47	18.93	34.64	15.72	38.18
F1	F4	43.57	20.33	6.79	50.94	28.81	26.42	43.07	20.09	43.57	20.74

F1	F5	45.58	19.79	13.07	39.20	35.85	20.53	45.45	19.07	48.00	19.50
F1	SD	18.62	33.83	8.80	48.43	-	-	-	-	-	-
F2	F3	12.74	46.02	3.77	69.53	8.49	54.83	6.30	57.78	6.46	56.15
F2	F4	34.06	27.51	6.55	61.88	22.04	29.97	36.21	24.92	37.81	24.09
F2	F5	36.36	26.37	10.74	45.62	34.04	22.94	39.16	23.29	42.69	22.46
F2	SD	37.41	23.25	11.87	41.58	-	-	-	-	-	-
F3	F4	25.73	37.00	3.07	78.15	20.36	34.28	30.96	27.79	30.70	27.77
F3	F5	28.38	34.92	7.24	50.71	28.11	25.27	34.26	25.82	35.58	25.66
F3	DP	55.78	19.56	12.97	37.56	-	-	-	-	-	-
F4	F5	4.91	78.31	6.92	54.12	12.23	45.63	3.83	70.06	6.14	62.96
F4	DP	106.04	14.44	16.34	36.27	-	-	-	-	-	-
F5	DP	113.12	14.20	21.79	30.46	-	-	-	-	-	-

613 Notes: Condition A= FaSSGF, Condition B= FeSSGF, Condition C= 1 hour in FaSSGF then continued to FeSSGF, Condition D= 2 hours  
614 in FaSSGF then continued to FeSSGF, Condition C= 3 hours in FaSSGF then continued to FeSSGF  
615

616 The dissolution data were fitted into five mathematical models to determine the release  
617 kinetic of the drug from the formulation. The VAL-SD alone exhibits a Hixson-Crowell  
618 (HC) release model in simulated fed media and Korsmeyer-Peppas (KP) model in the  
619 simulated fed media. In a more acidic fasted simulated media, the dissolution of the drugs  
620 occurred in planes parallel to the solid surface while the SD particles diminish slowly. The  
621 geometrical form of the particles stayed constant during the dissolution process. This  
622 kinetic model is usually observed in solid preparation like tablets. VAL-SD dissolution  
623 kinetics most likely followed a non-Fickian diffusion (anomalous transport) in fed state  
624 simulated media. KP model is usually observed in a polymeric drug delivery system.  
625 Because the VAL-SD carrier is a polymeric material, swelling-relaxation of the polymer  
626 and the Fickian diffusion may be involved to a certain degree simultaneously (Costa and  
627 Sousa Lobo, 2001; Liu et al., 2010).

628 The dissolution kinetics of all formulations were evaluated, and the results were given  
629 in Tables 5 and 6. F1, F2, and F3 generally followed KP models except in FeSSGF, where  
630 they followed HC kinetic model. These outcomes were expected as floating *in-situ* gels  
631 fall into the polymeric delivery system category. The majority of the formulation showed  
632  $n$  values greater than one, which means they follow super class-II transport of non-Fickian  
633 diffusion. In this case, the drugs' release was ruled by the macromolecular relaxation of  
634 the polymeric chain.

635 Interestingly, F4 and F5 behave in a HC kinetic manner most of the time, except in  
636 condition C of the dissolution test. F5 also had a similar release model in condition B. A  
637 more complex mechanism may rule the general release of the drug. We propose three  
638 central mechanisms that govern the dissolution process significantly. First, the polymeric  
639 structure that degraded over time should be considered, especially in the less acidic

640 environment. The second, which also may be related to the first one, was the pH of the  
641 test media, as it could affect the rigidity and integrity of the gel network. Lastly, the VAL-  
642 SD was incorporated into the preparation as an insoluble solid due to the minimum  
643 amount of water available for solubilization inside the formulation. This situation means  
644 that the VAL-SD needed to be dissolved first inside the gelled structure then the molecule  
645 will diffuse through the network into the media. The VAL-SD particle could also be  
646 released from the gel matrix when degraded/eroded during the dissolution process and  
647 dissolved in test media.

648

649 **Table 5.** Release kinetics fitted into different models based on *in vitro* release data for all formulation and VAL-SD alone in FaSSGF and  
 650 FeSSGF (The models that were considered to be most fitted with the data for each formulation were highlighted)  
 651

	Formulation	R-squared value fitted into different models				
		ZO	FO	HG	KP	HC
FaSSGF	F1	0.9573	0.9114	0.8245	<b>0.9647</b>	0.9476
	F2	0.9481	0.8317	0.7067	<b>0.9790</b>	0.8705
	F3	0.9662	0.8589	0.7520	<b>0.9842</b>	0.8969
	F4	0.6719	<b>0.9685</b>	0.8000	0.8322	0.9233
	F5	0.6926	0.9085	0.8097	0.8453	<b>0.9304</b>
	SD	0.8027	0.9062	0.8462	0.9165	<b>0.9451</b>
FeSSGF	F1	0.6887	0.9323	0.9002	0.9318	<b>0.9593</b>
	F2	0.6415	0.9628	0.9412	0.9516	<b>0.9673</b>
	F3	0.7655	0.957	0.9286	0.9595	<b>0.9681</b>
	F4	0.7765	0.9675	0.9346	0.9668	<b>0.9717</b>
	F5	0.8042	0.9491	0.9557	<b>0.9794</b>	0.9407
	DP	0.9908	0.8696	0.8418	<b>0.9994</b>	0.934

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662 **Table 6.** Release kinetics fitted into different models based on *in vitro* release data for all formulation and VAL-SD alone in FeSSGF after  
 663 undergoing dissolution for 1 hour, 2 hours, and 3 hours in FaSSGF  
 664

	Formulation	R-squared value fitted into different models				
		ZO	FO	HG	KP	HC
1 hour in FaSSGF	F1	0.9785	0.8377	0.7167	<b>0.9942</b>	0.8855
	F2	0.9145	0.7747	0.6032	<b>0.9984</b>	0.8184
	F3	0.9403	0.8076	0.6601	<b>0.9901</b>	0.8511
	F4	0.9236	0.8123	0.665	<b>0.9873</b>	0.8483
	F5	0.8720	0.7522	0.611	<b>0.9928</b>	0.788
2 hours in FaSSGF	F1	0.9682	0.8475	0.7191	<b>0.9765</b>	0.8943
	F2	0.9311	0.8040	0.6565	<b>0.9895</b>	0.8442
	F3	0.9436	0.8257	0.6898	<b>0.9823</b>	0.8652
	F4	0.6840	0.9207	0.8288	0.8587	<b>0.9452</b>
	F5	0.7051	0.9206	0.8256	0.8613	<b>0.9434</b>
3 hours in FaSSGF	F1	0.9567	0.8996	0.8023	<b>0.9590</b>	0.9335
	F2	0.9232	0.7964	0.6467	<b>0.9925</b>	0.8355
	F3	0.9432	0.8267	0.6871	<b>0.9853</b>	0.8655
	F4	0.6792	0.9145	0.8181	0.8487	<b>0.9374</b>
	F5	0.7437	0.9498	0.8562	0.8957	<b>0.9650</b>

666 Based on the findings discussed here, it is apparent that the combination approach of SD  
667 and floating gel *in-situ* was able to enhance the solubility and sustain the release of VAL  
668 over 24-h period. Importantly, for the first time, we proved that the release of VAL from  
669 this system was not affected after transferring the formulation to FeSGGF from 2-h  
670 release in FaSGGF. Therefore, from these *in vitro* studies, in order to maintain the  
671 sustained release profile of VAL, it can be anticipated that the oral administration of this  
672 system can be followed by food intake after 2 h. However, in future studies, the release  
673 behavior in a suitable *in vivo* animal model should be performed. Moving forwards, the  
674 pharmacodynamic studies should also be carried out to evaluate the effectiveness of this  
675 combination approach.

#### 676 **4. Conclusion**

677 In the present study, we found out that the inclusion of VAL into PVP K-30 or PEG 6000  
678 matrices improved its solubility. PVP K-30 showed superior performance compared to  
679 the PEG 6000 counterpart. The drug-to-matrix ratio of 1:3 gave the most favorable results  
680 for this study. We proved that the inclusion of VAL-SD into a crosslinked-based floating  
681 *in-situ* gel carrier was able to sustain VAL release from the formulation. The  
682 concentration of HPMC as the second polymeric material played a significant role in  
683 determining the characteristics and the performance of the preparation. Approximately  
684 100% drug release could be achieved over a wide range of time. Increasing HPMC  
685 concentration could prolong the drug release mainly due to the more complex gel network  
686 and higher viscosity/gel strength. Importantly, we developed a novel approach to  
687 investigate the effect of food intake of VAL release from SD-floating gel *in-situ*  
688 formulations. We observed the pH-dependent drug release from the dissolution study  
689 where it released the drug faster in less acidic media. Essentially, the release of the drug

690 could be prolonged in less acidic media, such as in fed-state simulated gastric fluid  
691 (FeSSGF), by letting it sit in more acidic media (fasted-state simulated gastric  
692 fluid/FaSSGF) for at least two hours. Finally, to prove the efficacy of this approach,  
693 extensive *in vivo* experiments will now be conducted.

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